Page 1 of 3 c.

FEE TRANSMITTAL FOR FY 2005

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 180.00 Complete if Known: Application No. 09/770,871 Filing Date 1/26/01 First Named Inventor Prabhu Examiner Name M. Shannon Art Unit 2614 80398.P381 Attorney Docket No. Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) \_\_ Check \_\_\_\_ Credit Card None Other (please identify) Money Order **Deposit Account** Deposit Account Number: \_\_02-2666 **Deposit Account Name:** The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **Large Entity Small Entity** Fee Fee Fee Fee Code (\$) Fee Description Fees Paid (\$) Code (\$) Utility application filing fee 1011 300 2011 150 1.000/500 500 2111 250 Utility search fee 1111 Utility examination fee 1311 200 2311 100 200 2012 100 Design application filing fee 1012 430/215 1112 100 2112 50 Design search fee Design examination fee 1312 130 2312 65 200 2013 100 Plant filing fee 1013 Plant search fee 660/330 1113 300 2113 150 80 Plant examination fee 1313 160 2313 1004 300 2004 150 Reissue filing fee 400/700 500 2114 250 Reissue search fee 1114 Reissue examination fee 1314 600 2314 300 200 100 Provisional application filing fee 1005 2005 SUBTOTAL (1) \$\_\_\_\_0

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## **FEE CALCULATION (continued)** 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) **Small Entity** Large Entity Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Surcharge - late filing fee or oath 1051 130 2051 65 25 Surcharge - late provisional filing fee or cover sheet 1052 50 2052 Non-English specification 130 1053 130 1053 For filing a request for ex parte reexamination 2.520 1812 2,520 1812 Request for inter parties reexamination 8.800 1813 8,800 1813 Requesting publication of SIR prior to Examiner action 1804 920\* 1804 920\* 1,840\* 1.840\* Requesting publication of SIR after Examiner action 1805 1805 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1.020 2253 510 Extension for reply within third month Extension for reply within fourth month 1254 1.590 2254 795 Extension for reply within fifth month 1255 2,160 2255 1.080 1401 500 2401 250 Notice of Appeal Filing a brief in support of an appeal 250 1402 500 2402 500 Request for oral hearing 2403 1403 1,000 1,510 Petition to institute a public use proceeding 1451 1451 1,510 1452 500 2452 250 Petition to revive - unavoidable 2453 750 Petition to revive - unintentional 1453 1,500 2501 1,400 700 Utility issue fee (or reissue) 1501 800 2502 400 Design issue fee 1502 1503 1100 2503 550 Plant issue fee 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 400 1462 Petitions to the Commissioner (CFR 1.17(g) Group II) 200 1463 200 1463 Petitions to the Commissioner (CFR 1.17(h) Group III) 1464 130 1464 130 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 180.00 **Submission of Information Disclosure Stmt** 1806 180 1806 180 8021 40 8021 40 Recording each patent assignment per property (times number of properties) For filing a submission after final rejection 395 790 2809 1809 (see 37 CFR 1.129(a)) 130 65 Statutory Disclaimer 1814 2814 For each additional invention to be examined 395 790 2810 1810 (see 37 CFR 1.129(b)) Request for Continued Examination (RCE) 1801 790 2801 395 1802 900 1802 900 Request for expedited examination of a design application Publication fee for early, voluntary, or normal pub. 300 1504 300 1504 1505 300 1505 300 Publication fee for republication Request for voluntary publication or republication 1803 130 1803 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1808 130 1808 130 Acceptance of unintentionally delayed claim for priority 1,370 1454 1,370 1454 Other fee (specify) Other fee (specify) SUBTOTAL (4) \$ 180.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Sheryl Sue Holloway Date: MANCH 2, 2005 Telephone Number: <u>408-720-8300</u> Reg. Number: \_37,850

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Attorney's Docket No. 80398.P381 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: M. Shannon

Art Unit: 2614

In Re Patent Application of:

Prabhu, et al.

Application No.: 09/770,870

Filed: January 26, 2001

For: Home Audio Video

Interoperability Implementation for High Definition Passthrough, On-Screen Display, and Copy

**Protection** 

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, Virginia 22313-1450 on <u>March 2, 2005</u>
(Date of Deposit)

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

03/05/E003 2828. AL 609000Eh 09770070

 has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
_X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
_X_	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MANO \$ 2005

Sheryl Sue Holloway Reg. No. 37,850

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

## Complete if Known Substitute for Form 1449/PTO **Application Number** 09/770,871 INFORMATION DISCLOSURE Filing Date 1/26/01 STATEMENT BY APPLICANT First Named Inventor: Prabhu (use as many sheets as necessary) Art Unit 2614 M.. Shannon **Examiner Name** 2 80398.P381 Attorney Docket Number **Sheet** 1 of **U.S. PATENT DOCUMENTS** Publication Date Name of Patentee or Pages, Columns, Lines, Cite No. Examiner Where Relevant MM-DD-YYYY Applicant of Cited Document **Document Number** Initials\* Passages or Relevant Figures Appear Number-Kind Code<sup>2</sup> (If known) 10/2/01 Prabhu, et al. 6,298,069 B1 US-US-US-US-MAR 8 7 2005 บร-US-US-US-US-US-UŞ-

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		

Examiner	Date Considered	
Signature		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449/PTO				Com	plete if Known			
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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